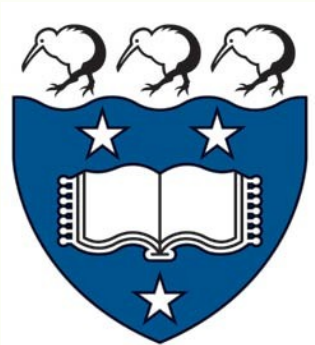


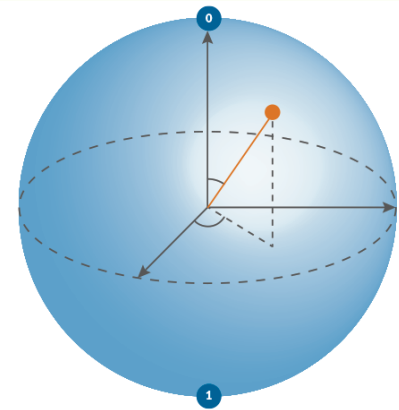
# Quantum Information Science

Mathematical background



André Nies

University of Auckland, May 15



# What is quantum physics?

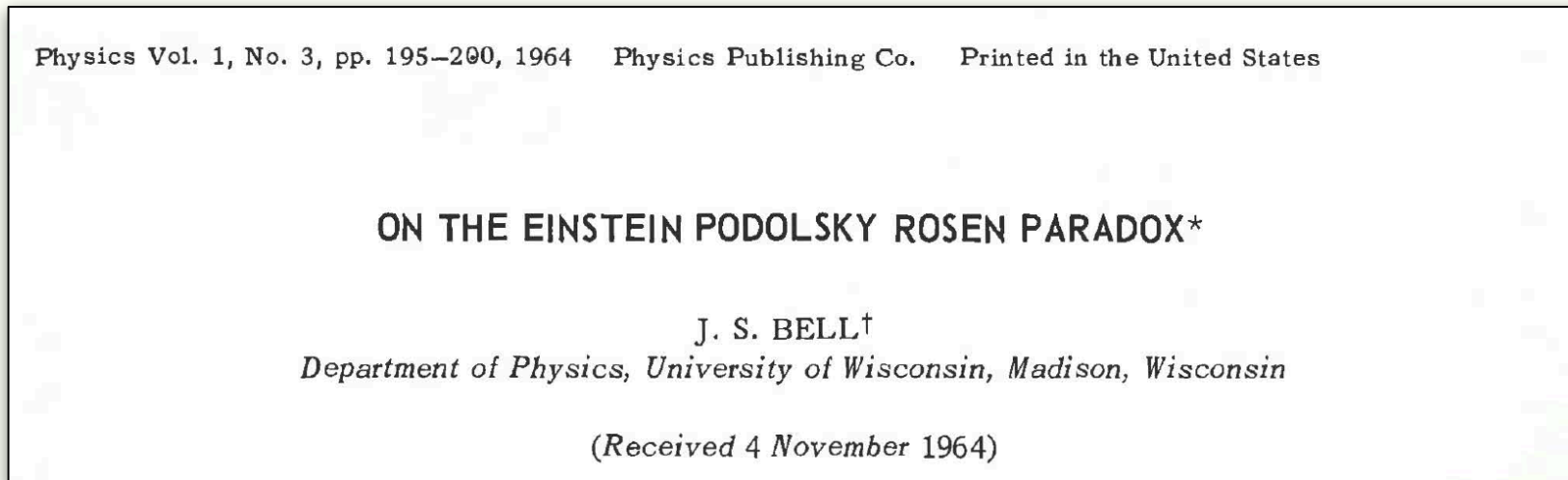
- Framework for the construction of (physical) theories, in particular at microscopic levels.
- Example of such a theory: quantum electrodynamics, which describes the interaction of atoms and light
- Quantum physics is expressed mathematically in the language of operators on finite dimensional Hilbert spaces. So the language is linear algebra.
- Four postulates, connecting physics concepts such as state of a system, measurement with mathematical concepts
- Since the 1980s, researchers have used quantum physics as a framework for a new kind of information science



## Quantum physics: timeline (2)

**1932** Von Neumann *Mathematische Grundlagen der Quantenmechanik*, summarising his papers from 1927 to that date.

**1964** Bell's inequality, **1969** CHSH inequality: non-locality



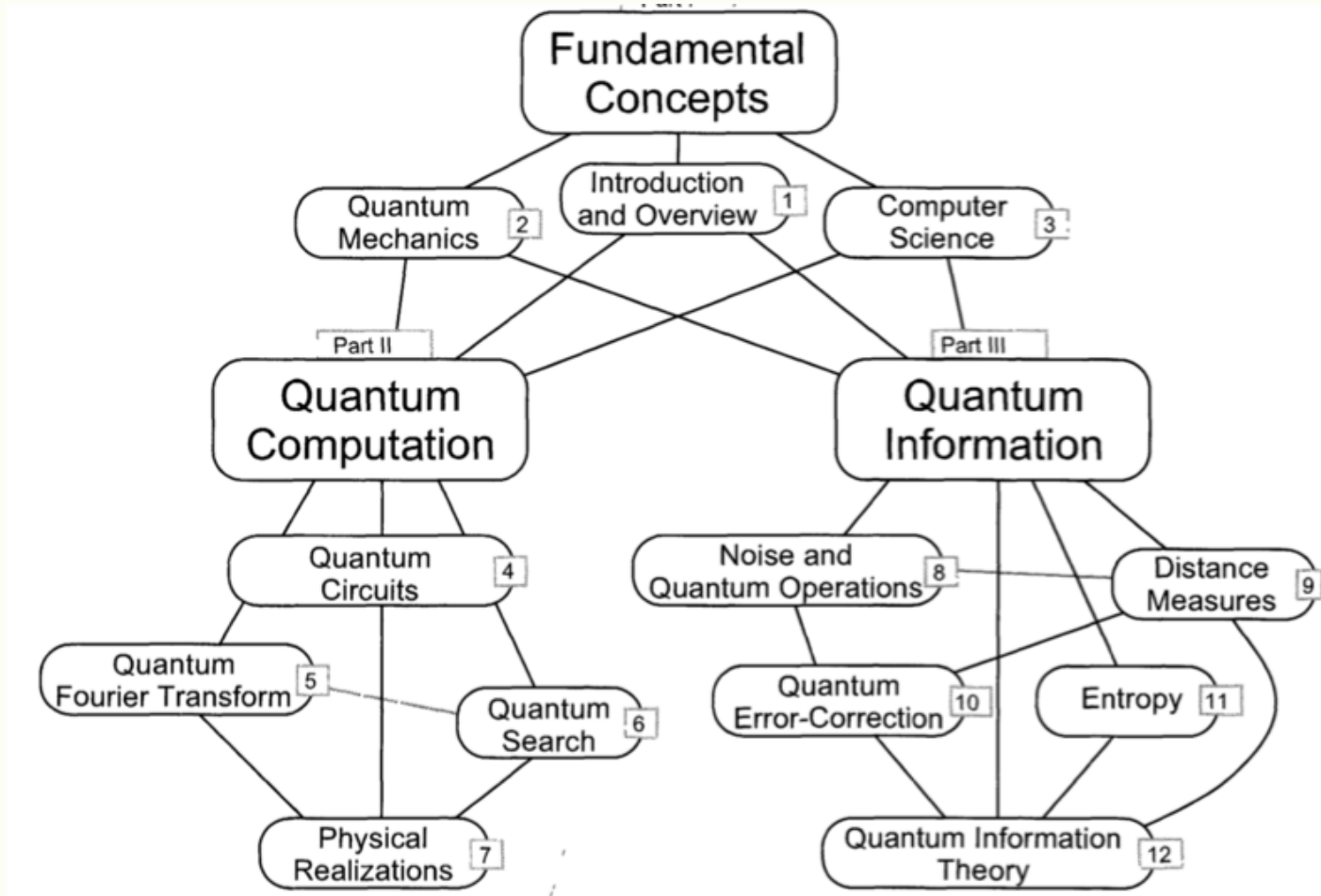
**1982-** Alain Aspect and others confirm entanglement experimentally

**2015** Loophole free experiments, Henson et al., Giustina et al.

## Theory of (quantum) computation: timeline

- 1936** **Turing**: a theoretical machine that can simulate all computations
- 1982** **R. Feynman**: suggests to build computers based on quantum mechanics
- 1985** **D. Deutsch**: challenges polynomial time Church-Turing thesis
- 1994** **P. Shor**: quantum algorithms for factoring and discrete logarithm
- 1995** Shor, Steane independently: quantum error correction, leads to threshold theorem (Aharonov and Ben-Or)
- 1995** quantum circuits, Solovay-Kitaev theorem
- 1997** **Bernstein-Vazirani**: universal quantum Turing machine
- 2013** **Aaronson, Arkhipov**: boson sampling as a way to show “quantum supremacy”.
- 2016** **Bremner, Montanaro, Sheperd**: random circuit sampling, IQP

# Structure of Nielsen and Chuang's 2000 book (2010 second edition)



# Hilbert space

- Finite-dimensional vector space  $A$  over the complex numbers  $\mathbb{C}$
- Vectors are denoted  $|\varphi\rangle$ ,  $|\psi\rangle$  etc
- Inner product  $\langle\varphi|\psi\rangle$
- linear in the second, antilinear in the first component
- Value 0 means orthogonal; value 1 means equal (for unit vectors)
- Length of a vector  $|\varphi\rangle$  is  $\sqrt{\langle\varphi|\varphi\rangle}$ ; Cauchy-Schwartz inequality
- Operators are linear maps between Hilbert spaces; given by matrices
- Hermitian operator: equals the conjugate transpose
- Unitary operator: the inverse equals the conjugate transpose

# States and their time evolution

**Postulate 1:** A physical system is represented by an  $n$ -dimensional Hilbert space  $A$ . The state of the system is a unit vector in  $A$ , written  $|\psi\rangle$

- For instance, to represent a single qubit we let  $n=2$ .
- The vectors  $|0\rangle$  and  $|1\rangle$  form a basis of the Hilbert space.
- A **qubit** is a vector  $a|0\rangle + b|1\rangle$  where  $a, b$  are complex numbers and  $|a|^2 + |b|^2 = 1$ .
- When measured,  $|a|^2$  is the probability to get 0, and  $|b|^2$  the probability to get 1.

**Postulate 2** describes the time evolution of a closed physical system via some form of Schrödinger's equation.



# Composite systems

- The tensor product  $A \otimes B$  consists of linear combinations of vectors

$$|\psi\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$$

- Inner product is defined by looking at components.
- The operation  $\otimes$  is bilinear.

**Postulate 4:** If two systems are represented by Hilbert spaces  $A$ ,  $B$ , then the composite system is represented by the tensor product  $A \otimes B$ .

- A system of two qubits is represented by Hilbert space  $\mathbb{C}^2 \otimes \mathbb{C}^2$ , which has dimension 4.
- For bits  $x, y$  write  $|xy\rangle = |x\rangle \otimes |y\rangle$ .
- The state is a unit vector  $a|00\rangle + b|01\rangle + c|10\rangle + d|11\rangle$ .

# No-cloning theorem

Informally, no quantum machine can copy an unknown state.

Formally, there is no unitary operator  $U$  on  $A \otimes B$  and state  $|s\rangle$  in  $B$  such that  $U(|\psi\rangle \otimes |s\rangle) = |\psi\rangle \otimes |\psi\rangle$  for each state  $|\psi\rangle$  in  $A$ .

Proof. Assume otherwise. Then

$$\langle \varphi | \psi \rangle = \langle |\varphi\rangle \otimes |s\rangle | |\psi\rangle \otimes |s\rangle \rangle$$

because  $\langle s | s \rangle = 1$ .

We can apply  $U$  to  $|\varphi\rangle \otimes |s\rangle$  and  $|\psi\rangle \otimes |s\rangle$  without changing the value of the inner product. This yields

$$\langle \varphi | \psi \rangle = \langle |\varphi\rangle \otimes |\varphi\rangle | |\psi\rangle \otimes |\psi\rangle \rangle = \langle \varphi | \psi \rangle \langle \varphi | \psi \rangle$$

So either  $\langle \varphi | \psi \rangle = 0$  (orthogonal) or  $\langle \varphi | \psi \rangle = 1$  (equal).

# Measurements on system given by a Hilbert space

## Postulate 3

- A measurement is a sequence  $P_0, \dots, P_{r-1}$  where the  $P_k$ 's are projections on  $A$  (Hermitian,  $P_k P_k = P_k$ ) and  $\sum P_k = 1_A$ .
- The probability that result  $m$  occurs when state  $|\psi\rangle$  is measured is  $p(m) = \langle \psi | P_m | \psi \rangle$  i.e., the inner product of  $|\psi\rangle$  with  $P_m (|\psi\rangle)$ .
- After measurement outcome  $m$ , the system is in the state  $\frac{1}{\sqrt{p(m)}} P_m |\psi\rangle$ .

## Example

In system  $\mathbb{C}^2 \otimes \mathbb{C}^2$  of two qubits, we measure the second qubit by

- $P_0$        $|a0\rangle \rightarrow |0\rangle, |a1\rangle \rightarrow \emptyset$  (zero vector)     $a = 0,1$
- $P_1$        $|a1\rangle \rightarrow |1\rangle, |a0\rangle \rightarrow \emptyset$                              $a = 0,1$

# Entanglement, mathematically

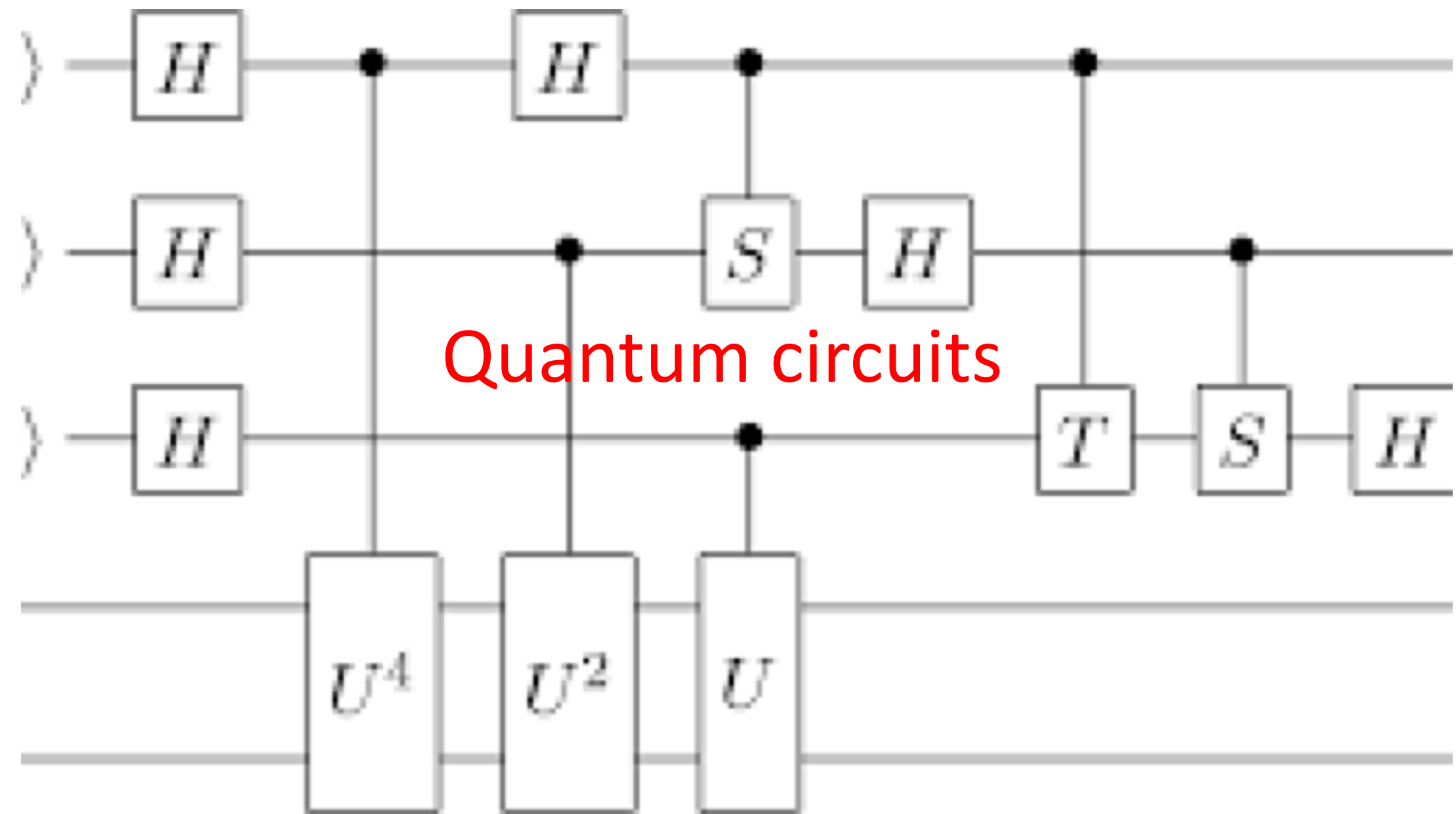
- We have a composite system  $A \otimes B$
- Consider a state  $|\psi\rangle \in A \otimes B$ , where  $A$  has basis  $|j\rangle; j = 0, 1, \dots, r - 1$  and  $B$  has basis  $|k\rangle; j = 0, 1, \dots, s - 1$ .
- The most general form of such  $|\psi\rangle$  is  $|\psi\rangle = \sum c_{jk} |jk\rangle$ .
- $|\psi\rangle$  is called **separable** if  $|\psi\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$  for states  $|\psi_A\rangle = \sum a_j |j\rangle, |\psi_B\rangle = \sum b_k |k\rangle$ .  
i.e.  $c_{jk} = a_j b_k$ .
- Otherwise  $|\psi\rangle$  is called **entangled** (verschränkt).

**Example**  $|\psi\rangle = \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$  is entangled:

If we have  $|\psi\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$  then  $c_{00} \neq 0$ , so  $a_0, b_0 \neq 0$ .

$c_{11} \neq 0$ , so  $a_1, b_1 \neq 0$ . Then also  $c_{01} = a_0 b_1 \neq 0$ , which is not the case.

# Quantum circuits



## Quantum circuits

- Quantum analog of Boolean circuits
- Unlike Boolean circuits, they are made up of unitary operations, and hence reversible
- Can be used to create an entangled pair of qubits
- Used to implement the discrete Fourier transform
- We also allow measurement at the end, or even in between (though it's not a reversible operation)
- The symbol for measurement w.r.t.

standard basis is



# Important unary quantum gates

- Pauli X, Y and Z gates

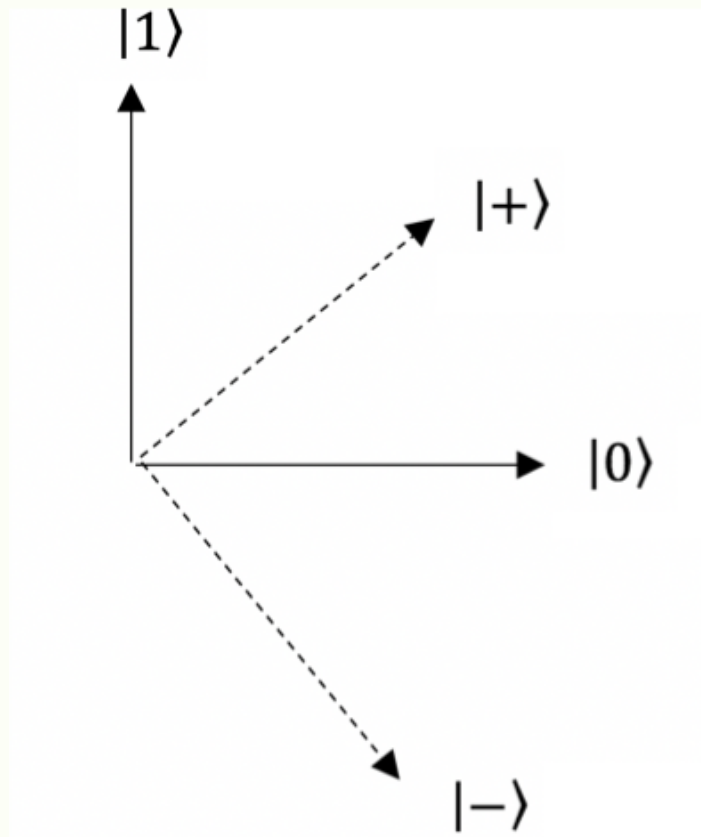
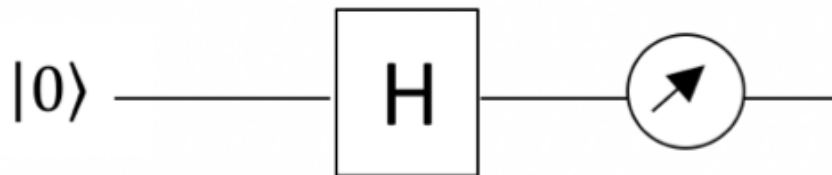
$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

- $\frac{\pi}{8}$ -gate  $T = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\frac{\pi}{4}} \end{bmatrix}$

# Hadamard gate

- $|0\rangle \rightarrow \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$  ,  $|1\rangle \rightarrow \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$
- So,  $H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ .
- $\frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$  is written  $|+\rangle$
- $\frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$  is written  $|-\rangle$ .

The following circuit produces a random bit, i.e. 0 and 1 each with probability 1/2.

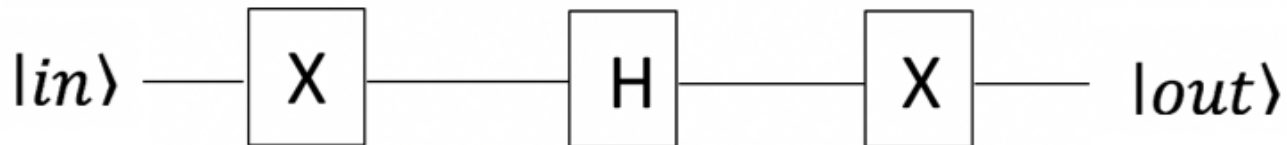




# Making circuits from gates

Circuits are formed by putting gates together. No cycles, no splitting of wires.

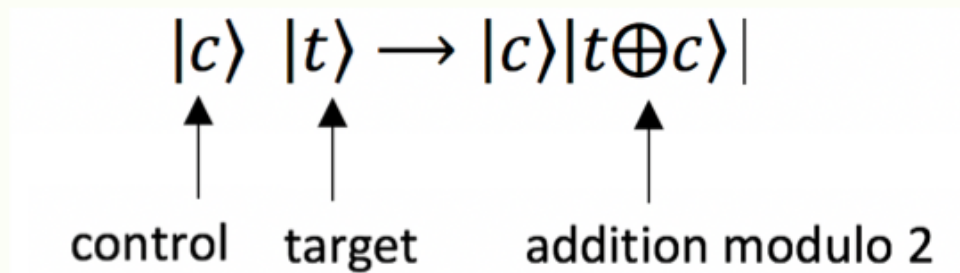
Example:



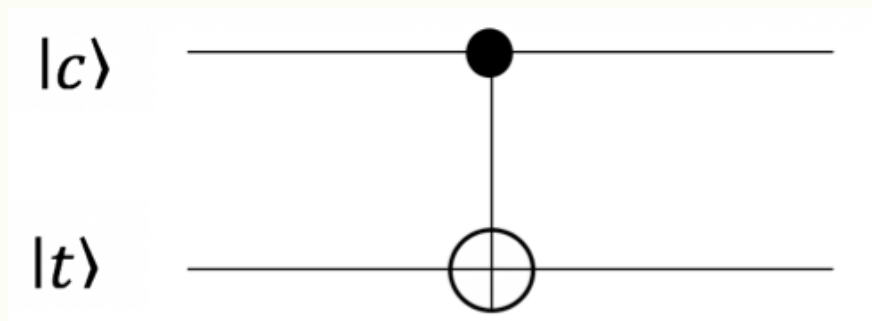
# Controlled "Not" binary gate

CNOT acts as follows for  $c = 0, 1, t = 0, 1$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$



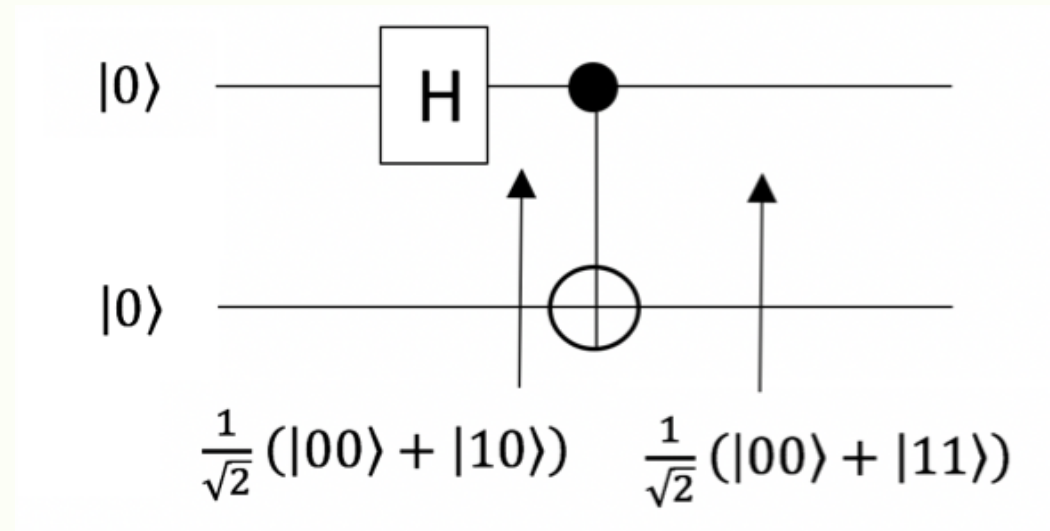
I.e., if control bit is  $|1\rangle$  then the target bit is flipped.



Circuit notation for controlled-not

## Creating an EPR state

We can use  $H$  and CNOT gates to create a pair of entangled qubits, the EPR state  $\frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$ .



This works because CNOT turns a linear combination  $a|00\rangle + b|01\rangle + c|10\rangle + d|11\rangle$  into  $a|00\rangle + b|01\rangle + d|10\rangle + c|11\rangle$ . Here,  $a = c = \frac{1}{\sqrt{2}}$ ,  $b = d = 0$ .

# Deutsch's algorithm (1985)

Problem:

Given a function  $f: \{0,1\} \rightarrow \{0,1\}$ . Is  $f$

- constant:  $f(0) = f(1)$

or

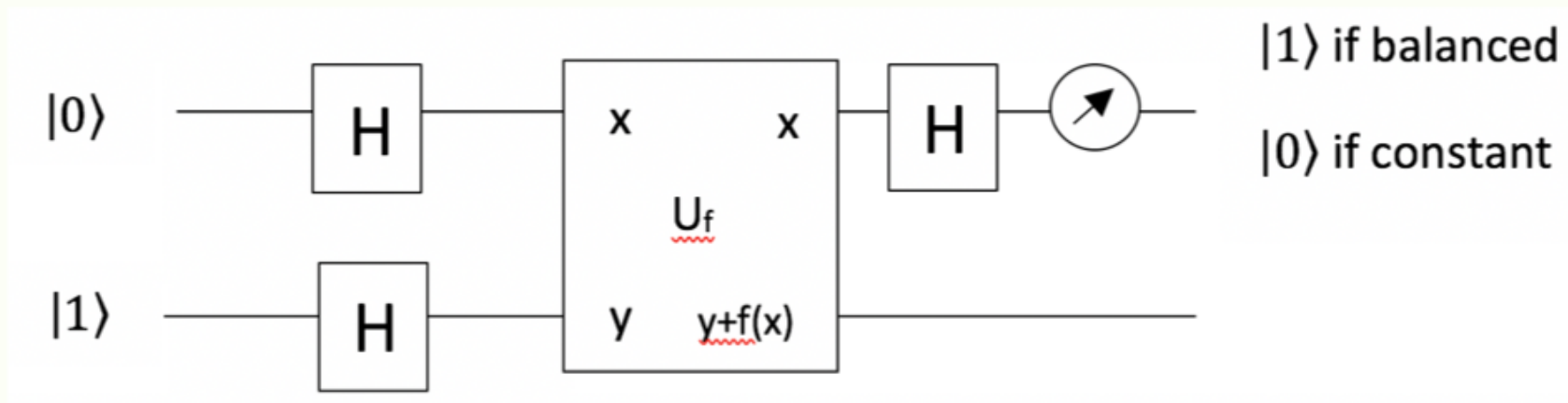
- balanced:  $f(0) \neq f(1)$  ?

In 'quantum way', we can solve this with one application of  $f$ :

A quantum circuit determines if  $f$  is balanced

Encode  $f$  into unitary operator:  $U_f |x\rangle|y\rangle = |x\rangle|y \oplus f(x)\rangle$

The following circuit decides which case we have:



# Shor's algorithm (1994)

Problem: Given a number  $N$  that is not prime, find a nontrivial factorization  $N=ab$ .

Shor's algorithm does that in polynomial time on a quantum computer.

This means one needs circuit of  $\text{poly}(\log N)$  quantum gates.

It only finds the answer with high probability.

Shor was ICM speaker in 1998 and got Nevanlinna Prize for this

## Reduction of factoring to period-finding

Let  $N$  be odd, not prime, not a prime power. E.g.  $N=15$ .

- Choose random  $x < N$  such that  $\gcd(x, n)=1$ . E.g.  $x=7$
- Let  $p$  be a period of  $x \bmod N$ . i.e.  $x^p \equiv 1 \bmod N$ . If  $p$  is odd, try other  $x$ .  $p=4$
- Else  $(x^{p/2} + 1)(x^{p/2} - 1) \equiv x^p - 1 \equiv 0 \bmod N$ . i.e.  $N$  divides this product.
- So  $\gcd(x^{p/2} + 1, N) \gcd(x^{p/2} - 1, N) = N$  is a factoring.

E.g.  $(7^2 + 1)(7^2 - 1) \equiv 7^4 - 1 \equiv 0 \bmod 15$ , and the factoring is  $5 \cdot 3 = 15$

If one of the factors is 1, try another  $x$ . One can show that the chance of  $x$  being useless is  $\leq 2^{-m}$ , where  $N$  has  $m$  prime factors.

# Preparing data (1)

Two quantum registers, called IR (input register) and OR.

- Choose an integer  $q$  such that  $N^2 < q < 2N^2$  **let's pick 256**
- Choose a random integer  $x$  such that  $\text{GCD}(x, N) = 1$  **let's pick 7**
  - ❑ Input register: must contain enough qubits to represent numbers as large as  $q-1$ . **Up to 255, so we need 8 qubits**
  - ❑ Output register: must contain enough qubits to represent numbers as large as  $N-1$ . **Up to 14, so we need 4 qubits**



## Preparing Data (2)

Load the input register with an equally weighted superposition of all the integers from 0 to  $q-1$ . 0 to 255

Load the output register with zeros.

**The state of the system at this point is:**

$$\frac{1}{\sqrt{256}} \sum_{a=0}^{255} |a\rangle |0000\rangle$$

IN

OUT

The two registers make a composite system.

# Exponentiation mod N, and measuring OR

Apply the transformation  $x^a \bmod N$  to each number in IR, storing the result of each computation in OR. (Quantum parallelism)

Take a measurement on the output register. This will collapse the superposition to represent just one of the possible results of the transformation; let's call this value  $d$ .

## Shor's Algorithm – Entanglement and QFT

The IR and OR registers are entangled after the modulo operation.

Thus, measuring the OR will have the effect of partially collapsing the IR into an **equal superposition** of each state between 0 and  $q-1$  that yielded  $d$  (the value of the collapsed output register.)

If e.g.  $d=1$  we have

$$|R\rangle = \frac{1}{\sqrt{64}} (|0\rangle + |4\rangle + |8\rangle + |12\rangle + \dots + |252\rangle)$$

Now apply inverse discrete Fourier transform to the partially collapsed IR.

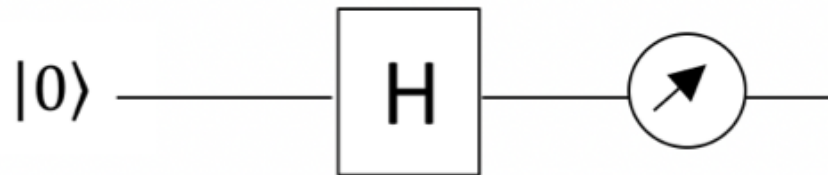
This transform turns each  $|a\rangle$  into

$$\frac{1}{\sqrt{q}} \sum_{c=0}^{q-1} |c\rangle \exp(2\pi i ac / q)$$

Measurement of this transform gives  $|c\rangle$  the probability 0 for non-integer values of  $4c/q$ . From this distribution we can determine the period  $p=4$ .

## Randomness for qubits

Recall that the circuit below produces a random classical bit, i.e. 0 and 1 both with probability  $1/2$ .



This is **not** what we study.

The qubit  $H|0\rangle$  is determined, it's just not accessible to us.

We want to define randomness for sequences of qubits.

# Finite sequences of qubits

For finite bitstrings (in the classical setting), randomness means incompressibility. The decompressor is a universal Turing machine. Length of a shortest description is descriptive (or Kolmogorov) complexity of a string.

A **string of n qubits** is a unit vector in an n-fold tensor power of  $\mathbb{C}^2$ .

1997 Bernstein and Vazirani's universal **quantum** Turing machine

2001 -2008 Vitanyi; Berthiaume et al.; Markus Müller's thesis gave various versions of descriptive complexity for qubit strings, based on this model.

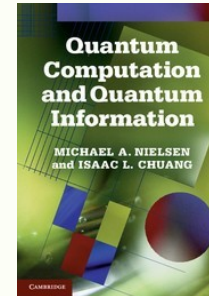
## Infinite sequences of qubits?

- They have some physical relevance, e.g. spin chains.
- Mathematically they are complicated objects (states in a certain  $C^*$ -algebra, which are certain sequences of density matrices).
- The reason is that if we “delete” the second bit from the entangled pair  $\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ , we get a statistical superposition of  $|0\rangle$  and  $|1\rangle$ , called a mixed state.
- Nies and Scholz (2018, on arXiv) introduced a quantum version of Martin-Löf randomness for such sequences.
- They have obtained a universal test, law of large numbers, and a weak quantum version of Levin-Schnorr theorem (which says roughly: random sequence means that all initial segments are incompressible).

# Some references

Peter Shor , *Quantum computing*, ICM proceedings, Documenta Mathematica, 1998

Chuang and Nielsen, *Quantum Computation and Quantum Information* CUP 2000/2010



Nies and Scholz, *Martin-Löf random quantum states*, arXiv preprint, 2018.